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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	Application Number	09/845,645
	Filing Date	April 30, 2001
	First Named Inventor	Wenhua Lin
	Group Art Unit	2882
	Examiner Name	Krystyna Suchecki
Attorney Docket Number		LIGHT1920

## ENCLOSURES (check all that apply)

Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group
Fee Authorized	Drawing(s)	Appeal Communication to Board of Appeals and Interferences
X Amendment	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
X After Final	Petition to Convert to a Provisional Application	Proprietary Information
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter
Extension of Time Request	Terminal Disclaimer	X Other Enclosure(s) (please identify below):
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Information Disclosure Statement	CD, Number of CD(s) _____	RECEIVED MAY -1 2003 TECHNOLOGY CENTER 2800
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Response to Missing Parts/ Incomplete Application		
Response to Missing Parts under 37 CFR 1.52 or 1.53		

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The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2326. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated:

4/24/03

By:

Attorneys for Applicant(s)

Phone: (760) 731-3091  
Fax: (760) 728-1541

## CERTIFICATE OF MAILING

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Typed or printed name	TRAVIS DODD		Date	4/24/03
Signature				



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wenhua Lin

Serial No.: 09/845,645<sup>645</sup>

Filed: April 30, 2001

For: Tunable Filter

Group No.: 2882

Examiner: Krystyna Suchecki

Docket No. LIGHT1920

CERTIFICATION UNDER 37 CFR § 1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date <u>4/24/03</u> , in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231	
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AMENDMENT AND REQUEST FOR RECONSIDERATION

In response to the Office Action mailed March 12, 2003, please amend the application as follows:

IN THE CLAIMS

Please amend the claims as follows:

1. (Twice Amended) A filter, comprising:

a light distribution component having an output side;

a plurality of array waveguides each defined by a ridge extending from a slab of a light transmitting medium positioned on a base, the array waveguides configured to deliver a light signal into the light distribution component such that the light signal is incident on the output side of the light distribution component;